



Member NSE (CM, F&O & CD), BSE (CM and F&O), MSEI (CM, F&O & CD)
 NSE: CM/F&O/CDS SEBI Regn. No. INB/F/E 230684937; BSE : CM/F&O SEBI Regn. No. INB/F/010684935 ; MSEI : CM/F&O: SEBI Regn. No. INB/F/260684932, CDS SEBI Regn. No. INE 260684937; CDSL SEBI Reg. No.: IN-DP-CDSL-283-2004; NSDL SEBI Reg. No.: IN-DP-NSDL-238-2003; ARN-No.0507 for registration with AMFI for MFSS & BSE Star MF; Clearing Member : M/s IL&FS Securities Services Ltd (Regd Off: Plot No.14, Raheja Vihar, Chandivili, Andheri (East), Mumbai - 400 072.) SEBI Regn. No. for NSE F&O:INF231133630; NSE - CDS : INE231308334

Regd. Off : 6-3-788/32, 3rd Floor, Vamsee Estates, Ameerpet, Hyderabad - 500 016.
 Admn. Off : GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500090. Ph: 040-44232222, Fax: 040-44232254

URL : <http://www.zenmoney.com>

URL : <https://etrade.zenmoney.com>

ACCOUNT OPENING KIT INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

S.No	Document Name	Brief significance of the document	Page No
1.	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and an instruction/check list.	3-9
		B. Document captures the additional information about the constituent relevant to trading account & Demat Account.	10-12
		C. Additional details:- Documents pertaining to DP Tariff, Option for issuance of DIS booklet alongwith account opening, Nomination etc.	13-22
2.	Rights & Obligations	A. Document stating the Rights and Obligations of Stock Broker/Trading Member, Sub-Broker/A.P. and client for trading on Exchanges (including additional rights & obligations in case of internet/wireless technology based trading).	Copy Given to the Client separately
		b) CDSL-DP,Rights & Obligations of Beneficial Owner & Depository Participant.	-do-
3.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	-do-
5.	Policies and Procedures	Document describing significant policies and procedures of ZSL.	26
6.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	27

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER

7.	Letter of undertaking	Various undertakings by client for smooth and uninterrupted trading and settlement like exposures,margins,squaring up of positions,lien,ZSL policy for 'inactive' clients,Business policy of ZSL for trading in FAO/CDS segments (NSE/BSE/MSEI),Authorization to debit DP charges,Disclosure of Proprietary trading by ZSL,PMLA declaration, Mobile Declaration, etc.,	28-32
12.	FEMA Declaration	FEMA Declaration for NRI Clients	32
8.	Running Account Authorization	Running Account Authorization for smooth and uninterrupted settlement of funds and securities, etc.	33
9.	Limited Power of Attorney	POA by client for various services required by him/her without his/her presence like settlement of funds and securities,IPOs, MF,ETF's,etc	34-35
10.	Mutual Fund Trading Facility	NSE MFSS & BSE Star MF Registration	36
11.	Document for e-statements	Document for e-statements - CDSL DP	37
12.	Acknowledgement	Acknowledgement of document copies by client.	37
13.	FATCA-CRS Declaration	FATCA-CRS Declaration Form	38-39

CEO Details	Pratap Kantheti - Managing Director - Ph: 040-44232222, E-mail : md@zenmoney.com,
Compliance Officer Details	(For NSE, BSE, MSEI, NSDL & CDSL) Anasuya Sahu - General Manager Ph: 040-44232215, E-mail: complianceofficer@zenmoney.com
For Grievance/Dispute (1st Level)	Please contact Zen Securities Ltd. at the above address or Investor grievance cell mail id grievance@zenmoney.com/ Ph: 040-44232323
Incase not satisfied with the response (2nd Level)	Please contact NSE on toll free Ph No.: 1800 266 0058/ E-mail : ignse@nse.co.in BSE Ph No.: 022-22728517 E-mail: mahesh.ghadi@bseindia.com MSEI Ph No.:+91 22 6112 9000 E-mail: investorcomplaints@msei.com

Please note to read "ZSL" as Zen Securities Ltd. where ever it appears.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM (For Trading & Demat A/c)

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/ OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/ Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinationa Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc.,to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account tothe Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc. 2. Investors residing in the state of Sikkim
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

CENTRAL KYC REGISTRY | KNOW YOUR CUSTOMER (KYC) APPLICATION FORM (FOR) INDIVIDUAL

(Mandatory)



(Please fill the form in ENGLISH and BLOCK Letters) Application type* New Update

(Fields marked with * are mandatory fields) KYC Number

Account Type* Normal (Mandatory- for KYC update request)

1. PERSONAL DETAILS (Please refer Instruction "A" at the end)

PAN No.* Aadhaar No*

(Please enclose duly attested copies of your PAN & Aadhaar Card*)

Name* (Same as ID proof) : (Prefix) First Name Middle Name Last Name

Maiden Name (if any*) :

Father / Spouse Name* :

Mother Name* :

Date of Birth* : DD MM YY YY

Gender* M-Male F-Female

Marital Status* Married Unmarried/ Single

Citizenship* IN-Indian Others (ISO 3166 Country code)

Residential Status* Resident Individual Non Resident Indian

Foreign National Person of Indian Origin

Occupation Type* S-Service (Private Sector Public Sector Government Sector)

O-Others (Professional Self Employed Retired Housewife Student

B-Business

X-Not Categorized

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

2. TICK IF APPLICABLE

RESIDENCE FOR TAX PURPOSES IN JURISDICTION(S) OUTSIDE INDIA (Please refer Instruction "B" at the end)

ADDITIONAL DETAILS REQUIRED* (Mandatory only if section 2 is ticked)

ISO 3166 Country Code of Jurisdiction of Residence*

Tax Identification Number or Equivalent (if issued by jurisdiction)*

Place / City of Birth* ISO 3166 Country Code of Birth*

3. PROOF OF IDENTITY (PoI)* (Please refer Instruction "C" at the end) (for PAN exempted cases)

Certified copy of any one of the following Proof of Identity (PoI) needs to be submitted)

A- Passport Number Passport Expiry Date DD MM YY YY

B- Voter ID card

C- Pan Card

D- Driving License Driving License Expiry Date DD MM YY YY

E- UID (Aadhaar)

Z- Others (any document notified by the central government) Identification Number

4. PROOF OF ADDRESS (POA)*

4.1 CURRENT/PERMANENT/OVERSEAS ADDRESS DETAILS (Please see instruction "D" at the end)

(Certified copy of any one of the following Proof of Address [PoA] needs to be submitted)

Address Type* Residential/Business Residential Business Registered Office Unspecified

Proof of Address* Passport Driving Licence UID (Aadhaar) Voter Id Ration Card

Others (Specify)

Address:

Line 1*

Line 2

Line 3 City/Town/Village*

District* Pin/Post Code*

State/U.T Code* ISO 3166 Country code*

- 4.2 CORRESPONDENCE / LOCAL ADDRESS DETAILS * (Please see instruction "E" at the end)
- Same as Current / Permanent / Overseas Address details (In case multiple correspondence / local address, please fill "Annexure A1")

Line 1*

Line 2

Line 3 City/Town/Village*

District* Pin/Post Code*

State/U.T Code* ISO 3166 Country code*

- 4.3 ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSE* (Applicable if section 2 is ticked)

- Same as Current / Permanent / Overseas Address detail Same as Correspondence / Local Address details

Line 1*

Line 2

Line 3 City/Town/Village*

District* Zip/Post Code*

State/U.T Code* ISO 3166 Country code*

5. CONTACT DETAILS (All communications will be sent on provided Mobile no. / Email-ID) (Please refer instruction "F" at the end)

Tel. (off) Tel. (Res) Mobile

Fax Email ID

6. DETAILS OF RELATED PERSON (In case of additional related persons, please fill "Annexure B1") (please refer instruction "G" at the end)

- Additional Related Person Deletion of Related Person

KYC Number of Related Person (if available*)

Related Person Type* Guardian of Minor Assignee Authorized Representative

Name * (Prefix) First Name Middle Name Last Name

(If KYC number and name are provided, below details of section 6 are optional)

PROOF OF IDENTITY (PoI) of RELATED PERSON* (Please see Instruction "H" at the end)

- A- Passport Number Passport Expiry Date
- B- Voter ID card
- C- Pan Card
- D- Driving License Driving License Expiry Date
- E- UID (Aadhaar)
- Z- Others (any document notified by the central government) Identification Number

7. Remarks if any

8. APPLICANT DECLARATION

- I hereby declare that the details furnished above are true and correct the best of my knowledge and belief and I undertake to inform you of and changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting. I am/we are aware that I/we may be held liable for it.
- I hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/email address.

Date: Place:

Signature/Thumb impression

9. ATTESTATION

FOR OFFICE USE ONLY

ZEN SECURITIES LIMITED
code :

- (Originals Verified) Self Certified
Document copies received

- (Attested) True copies of documents received
Main Intermediary

Name & Signature of the authorised signatory with seal / stamp

Date:

IPV Done on

Seal/Stamp of the intermediary should contain
Staff Name
Designation
Name of the Organization
Signature
Date

General Instructions:

- 1 Fields marked with '*' are mandatory fields.
- 2 Tick '?' wherever applicable.
- 3 Self-Certification of documents is mandatory.
- 4 Please fill the form in English and in BLOCK Letters.
- 5 Please fill all dates in DD-MM-YYYY format.
- 6 Wherever state code and country code is to be furnished, the same should be the two-digit code as per Indian Motor Vehicle, 1988 and ISO 3166 country code respectively list of which is available at the end.
- 7 KYC number of applicant is mandatory for updation of KYC details.
- 8 For particular section update, please tick (?) in the box available before the section number and strike off the sections not required to be updated.
- 9 In case of 'Small Account type' only personal details at section number 1 and 2, photograph, signature and self-certification required.

A Clarification / Guidelines on filling 'Personal Details' section

- 1 Name: Please state the name with Prefix (Mr/Mrs/Ms/Dr/ etc.). The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- 2 Either father's name or spouse's name is to be mandatorily furnished. In case PAN is not available father's name is mandatory.

B Clarification / Guidelines on filling details if applicant residence for tax purposes in jurisdiction(s) outside India

- 1 Tax identification Number (TIN): TIN need not be reported if it has not been issued by the jurisdiction. However, if the said jurisdiction has issued a high integrity number with an equivalent level of identification (a "Functional equivalent"), the same may be reported. Examples of that type of number for individual include, a social security/ insurance number, citizen/personal identification/services code/number, and resident registration number)

C Clarification / Guidelines on filling 'Proof of Identity [PoI]' section

- 1 If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
- 2 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.
- 3 In case of Simplified Measures Accounts for verifying the identity of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 3 (S).

Document Code	Description
---------------	-------------

- | | |
|---|--|
| 1 | Identity card with applicant's photograph issued by Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, and Public Financial Institutions. |
|---|--|

- 2 Letter issued by a gazetted officer, with a duly attested photograph of the person.

D Clarification / Guidelines on filling 'Proof of Address [PoA] - Current / Permanent / Overseas Address details' section.

- 1 PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
- 2 State / U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- 3 In case of Simplified Measures Accounts for verifying the address of the applicant, any one of the following documents can also be submitted and undernoted relevant code may be mentioned in point 4.1.

Document Code	Description
---------------	-------------

- | | |
|---|---|
| 1 | Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill). |
| 2 | Property or Municipal Tax receipt. |
| 3 | Bank account or Post Office savings bank account statement. |
| 4 | Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address. |
| 5 | Leave and license agreements with such employers allotting official accommodation. |
| 6 | Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India. |

E Clarification / Guidelines on filling 'Proof of Address [PoA] - Correspondence / Local Address details' section

- 1 To be filled only in case the PoA is not the local address or address where the customer is currently residing. No separate PoA is required to be submitted.
- 2 In case of multiple correspondence / local addresses, Please fill 'Annexure A1'

F Clarification / Guidelines on filling 'Contact details' section

- 1 Please mention two- digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- 2 Do not add '0' in the beginning of Mobile number.

G Clarification / Guidelines on filling 'Related Person details' section

- 1 Provide KYC number of related person if available.

H Clarification / Guidelines on filling 'Related Person details - Proof of Identity [PoI] of Related Person' section

- 1 Mention identification / reference number if 'Z- Others (any document notified by the central government)' is ticked.

LIST OF TWO – DIGIT STATE / U.T CODES AS PER INDIAN MOTOR VEHICLE ACT, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa GA		Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

LIST OF ISO 3166 TWO- DIGIT COUNTRY CODE

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		

KNOW YOUR CLIENT (KYC) APPLICATION FORM (FOR NON-INDIVIDUALS ONLY) (Mandatory)

Please fill in ENGLISH and in BLOCK LETTERS

A. IDENTITY DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Name of Applicant (Please write complete name as per Certificate of Incorporation / Registration; leaving one box blank between 2 words. Please do not abbreviate the Name).

Name

2. Date of Incorporation Place of Incorporation

3. Registration No. (e.g. CIN)

Date of commencement of business

4. Status Please tick (✓) Private Ltd. Co. Public Ltd. Co. Body Corporate Partnership

Trust Charities NGOs FI FII HUF AOP Bank Government Body

Non-Government Organisation Defence Establishment Body of Individuals Society LLP

Others (Please specify)

5. Permanent Account Number (PAN) (MANDATORY) Please enclose a duly attested copy of your PAN

PHOTOGRAPH

Please affix your recent passport size photograph and sign across it

B. ADDRESS DETAILS (please see guidelines overleaf)

1. Address for Correspondence

City/town/village: Pin Code:

State: Country:

2. Contact Details: Tel. (Off.) Tel. (Res.)

Mobile No.: Fax:

Email id :

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

*Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Bank Account Statement

Registered Lease / Sale Agreement of Office Premises

Any other proof of address document (as listed overleaf).(Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

4. Registered Address (If different from above)

City/town/village: Pin Code:

State: Country:

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

*Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Bank Account Statement

Registered Lease / Sale Agreement of Office Premises

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

C. OTHER DETAILS (PLEASE SEE GUIDELINES OVERLEAF)

1. Name, PAN, DIN/Aadhaar Number, residential address and photographs of Promoters/Partners/Karta/Trustees/whole time directors (Please use the Annexure to fill in the details)

2. Any other information:

DECLARATION

I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I /we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

X

Name & Signature of the Authorised Signatory(ies)

Place:

Date:

FOR OFFICE USE ONLY

AMC/Intermediary name OR code

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Name & Signature of the authorised signatory with seal / stamp

Date:

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor or, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year) ● Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) ● Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations ● Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly ● Copies of the Memorandum and Articles of Association and certificate of incorporation ● Copy of the Board Resolution for investment in securities market ● Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year) ● Certificate of registration (for registered partnership firms only) ● Copy of partnership deed ● Authorised signatories list with specimen signatures ● Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year) ● Certificate of registration (for registered trust only). Copy of Trust deed ● List of trustees certified by managing trustees/CA ● Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> ● PAN of HUF ● Deed of declaration of HUF/List of coparceners ● Bank pass-book/bank statement in the name of HUF ● Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> ● Proof of Existence/Constitution document ● Resolution of the managing body & Power of Attorney granted to transact business on its behalf ● Authorized signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> ● Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years ● Authorized signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> ● Copy of SEBI registration certificate ● Authorized signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> ● Self-certification on letterhead ● Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> ● Copy of Registration Certificate under Societies Registration Act ● List of Managing Committee members ● Committee resolution for persons authorised to act as authorised signatories with specimen signatures ● True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Please Submit the KYC Documents on A4 Size Paper Only.

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of KnowYour Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant _____

Sr. No.	PAN	Name	DIN(For Directors) and UID	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Whether Politically Exposed	Photograph
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	
						<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	

TRADING ACCOUNT RELATED DETAILS**For Individuals & Non-individuals****(Mandatory)**Please fill this form in **ENGLISH** and in **BLOCK LETTERS**.**A. BANK ACCOUNT(S) DETAILS**

Bank Name(1)

Branch Address

Bank A/c No. MICR No.

Account Type SB Current Others-In case of NRI/NRE/NRO PIS A/c No.

IFSC Code: PIS Savings A/c No.

Bank Name(2)

Branch Address

Bank A/c No. MICR No.

Account Type SB Current Others-In case of NRI/NRE/NRO IFSC Code:

B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name(1)

Depository Name (NSDL/CDSL)

Beneficiary Name

DP ID Beneficiary ID (BO ID)

Depository Participant Name(2)

Depository Name (NSDL/CDSL)

Beneficiary Name

DP ID Beneficiary ID (BO ID)

C. TRADING PREFERENCES *Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges	Segments	Signatures	
NSE	CM	<input checked="" type="checkbox"/>	3a
	F&O	<input checked="" type="checkbox"/>	3b
	CDS	<input checked="" type="checkbox"/>	3c
BSE	CM	<input checked="" type="checkbox"/>	3d
	F&O	<input checked="" type="checkbox"/>	3e
	CDS	<input checked="" type="checkbox"/>	3f
MSEI	CM	<input checked="" type="checkbox"/>	3g
	F&O	<input checked="" type="checkbox"/>	3h
	CDS	<input checked="" type="checkbox"/>	3i

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. PAST ACTIONS

- Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/ constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years

E. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

- If client is dealing through the sub-broker, provide the following details

Sub-broker's Name: SEBI Registration number: Registered office address: Ph Fax Web

- Whether dealing with any other stock broker/sub-broker (if case dealing with multiple stock brokers/sub-broker, provide details of all)

Name of stock broker Name of Sub-Broker, if any Client Code Exchange Details of disputes/dues pending from/to such stock broker/sub-broker **F. ADDITIONAL DETAILS**

- Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please tick box)
 Yes or No (If yes, Specify your Email id)
- Whether you wish to avail of the facility of internet trading/ wireless technology Yes or No (if yes,please specify)
- Number of years of investment / trading experience. Years

G. Gross Annual income details (Please tick (✓) (in Rupees):

Below 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac >25 Lac

Net-worth in ₹. _____ as on (date)

Occupation (Please tick (✓) any one and give brief details) :

Private sector Service Public Sector Government Service Business Professional Agriculturist
 Retired Housewife Student Others(Please specify) _____

Please tick, if applicable: Politically Exposed Person Related to a Politically Exposed person

For definition of PEP, please refer guideline overleaf

Any other information: _____

- In case of **non-individuals**, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others.....

1.Name: <input type="text"/> 2.Designation: <input type="text"/> 3.PAN: <input type="text"/> 4.UID: <input type="text"/> 5.Signature: <input type="text"/> 6.Residential Address: <input type="text"/> <input type="text"/> <input type="text"/>	Affix your recent passport size Colour photograph and sign across it. _____ sign across the Photo
1.Name: <input type="text"/> 2.Designation: <input type="text"/> 3.PAN: <input type="text"/> 4.UID: <input type="text"/> 5.Signature: <input type="text"/> 6.Residential Address: <input type="text"/> <input type="text"/> <input type="text"/>	Affix your recent passport size Colour photograph and sign across it. _____ sign across the Photo

- Any other information:

H. INTRODUCER DETAILS (optional)

Name of the Introducer (Surname) (Name) (Middle Name)

Status of the Introducer Sub-broker/AP Remisier Authorized Person Existing Client Others, please specify

Address of the introducer

Phone No.

I _____
 Signature of the Introducer

I. Nomination Details (For Individuals only)

(Trading & Demat)

- I/We do not wish to nominate
- I/We wish to nominate (Please fill up and Sign Annexure 3.2)

Name of the Nominee:
 Relationship with the Nominee:
 PAN Phone Date of Birth
 Address:

Guardian Details (where sole holder is a minor):[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]


Guardian Name
 PAN
 Relationship of guardian with minor

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or mis representing, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Date:

Place :.....

X _____ 
 Signature of client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

Nomination accepted and registered vide Registration No. / Date

Signature of the Authorised Signatory(ZSL)

Date

Seal/Stamp of Zen Securities Ltd.

INSTRUCTIONS/ CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.

3. Demat master or recent holding statement issued by DP bearing name of the client.

4. For individuals:

- a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/ sub-broker's/AP office.
- b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

5. For non-individuals

- a. Form need to be initialized by all the authorized signatories.
- b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.



CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED.
Additional Details for Demat Account Opening

Individual

NRI

Foreign National

DP ID : 1 2 0 3 8 1 0 0

(To be filled by ZEN)

CLIENT ID. _____

Date: DD MM YYYY

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a demat account in my / our name as per the following details:-

Holders Details

Sole / First Holder's Name _____
 PAN _____ UID _____

Second Holder's Name _____
 PAN _____ UID _____

Third Holder's Name _____
 PAN _____ UID _____

Name* _____

*In case of firms, Association of persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the Name of the natural Persons, the name of the firm, Association of persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub - Status			
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual Director	<input type="checkbox"/> Individual Director's Relative	<input type="checkbox"/> Individual HUF / AOP
	<input type="checkbox"/> Individual Promotor	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Minor	<input type="checkbox"/> Others (Specify).....
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non – Repatriable	<input type="checkbox"/> NRI - Repatriable Promotor	
	<input type="checkbox"/> NRI - Non Repatriable Promotor	<input type="checkbox"/> NRI Depository Receipts	<input type="checkbox"/> Others (Specify).....	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depository Receipts	<input type="checkbox"/> Others (Specify).....	

Guardian Details (where sole holder is a minor):

Guardian Name : _____

PAN _____

Relationship of guardian with minor: _____

I/we instruct the DP to receive each and every credit in my/our account (if not marked, the default option would be "Yes")	(Automatic Credit) <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement requirement (tick which is applicable)	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> as per SEBI Regulation
I / We request you to send Electronic Transaction-cum-Holding Statement to Email ID stated in the Account Opening Form	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic

I / We wish to receive dividend/interest directly in to **my** bank account given below through ECS Yes No
 (if not marked, the default option would be "Yes")(ECS is mandatory for locations notified by SEBI from time to time)

BANK DETAILS : (Dividend Bank Details)

Bank Name _____ Branch Name _____

Bank Address _____

City _____ State _____ Country _____ Pincode _____

Bank A/c No. _____ A/c Type Saving Current Others (Specify) _____

Bank Code No.(9 digit MICR code on the cheque book issued by the Bank.) _____

IFS Code (11 Character) _____

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO (or)
- (iii) Photocopy of the Passbook having name and address of the BO, (or) (iv) Letter from the Bank.

◆ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.


Other Details

Gross Annual Income Details	Income Range per annum: <input type="checkbox"/> Up to Rs 1,00,000 <input type="checkbox"/> Rs 1,00,000 to Rs.5,00,000 <input type="checkbox"/> Rs.5,00,000 to Rs. 10,00,000 <input type="checkbox"/> Rs. 10,00,000 to Rs. 25,00,000 <input type="checkbox"/> More than Rs.25,00,000
	Net worth as on (Date) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Rs
	[Net worth should not be older than 1 year]
Please tick If any of the authorized signatories / Promoters / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP). <input type="checkbox"/> Please provide details as per Annexure 2.2 A.	
Any other information:	

Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional
	<input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student
	<input type="checkbox"/> Others (Specify) _____
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
Any other information	

SMS alerts facility (refer terms & conditions given as per Annexure 2.4)	Mobile No +91 _____ {mandatory, if you are giving power of attorney(POA)} If POA is not granted & you do not wish to avail this facility, cancel this option)									
Easi	To register for easi, please visit our website www.cdslindia.com. Easi allows a BO to view his ISIN balances, transaction and value of the portfolio online									
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	<p>I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST</p> <table border="1"> <thead> <tr> <th>Stock Exchange Name/ID</th> <th>Clearing Member Name</th> <th>Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								

I/We have received and read the rights and obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Name	Specimen Signature
First/Sole Holder or Guardian (in case of minor)		1st <input type="text"/> 
Second Holder		2nd <input type="text"/>
Third Holder		3rd <input type="text"/>

(signatures should be preferably in black Ink)

Photo
 of
 the
 Nominee

To

M/s. Zen Securities Ltd., GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,
 Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500 090.

Dear Sir/ Madam,

- I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:
- I/We do not wish to nominate any one.
- I/We nominate the following person/s who is entitled to receive security balances and/or fund balances lying in my/our demat/trading account, particulars where of are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details

DP ID	1	2	0	3	8	1	0	0	Client ID							UCC Code						
Name of the Sole / First Holder																						
Name of Second Holder																						
Name of Third Holder																						

Nomination Details	Nominee 1	Nominee 2	Nominee 3
Nominee Name			
First Name			
Middle Name			
Last Name			
Address:			
City:			
State:			
Pin:			
Country:			
Telephone No:			
Fax No:			

Nomination Details	Nominee 1	Nominee 2	Nominee 3
PAN No.			
UID			
Email ID			
Relationship with the BO			
Date of birth <small>(Mandatory if Nominee is a Minor):</small>			
Nominee Signature	X	X	X
Name of the Guardian of Nominee <small>(if the nominee is minor)</small>			
First Name			
Middle Name			
Last Name			
Address of the Guardian of Nominee			
City			
State			
Country			
Pin			
Age			
Telephone			
Fax No			
Email ID			

[Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].


Relationship of the Guardian with the Nominee			
Percentage of allocation of securities:			
Residual Securities <small>[please tick any one nominee. If tick not marked default will be first nominee]</small>			

Note : Residual securities: in case of multiple nominees, please choose any one nominee who will be credited with residual securities remaining after distribution of securities as per percentage of allocation. If you fail to choose one such nominee, then the first nominee will be marked as nominee entitled for residual shares, if any.

*** Marked is Mandatory field**

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: _____ Date: _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature	1 st 	2 nd	3 rd

Note: One witness shall attest signature(s) / Thumb impression(s).

Details of the Witness	First Witness
Names of Witness	
Address of Witness	
Signature of Witness	

(To be filled by DP)

Nomination Form accepted and registered wide Registration No. _____ dated _____.

For Zen Securities Limited
(Authorised Signatory)

Notes:

- The nomination can be made only by individuals holding beneficiary owner/ trading accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner/ trading account stands rescinded upon closure of the beneficiary owner/ trading account. Similarly, the nomination in respect of the securities/ funds shall stand terminated upon transfer of the securities/ funds.
- Transfer of securities/ funds in favour of a Nominee(s) shall be valid discharge by the depository and the Participant/Stock Broker against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner/ trading accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository/ Stock Broker shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
 - Nomination for trading account is for "first holder" only.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the Annexure 3.2 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- Savings bank account details shall only be considered if the account is maintained with the same participant.
- DP ID and client ID shall be provided where demat details is required to be provided.

For Non-individuals

Additional KYC Form for Opening a Demat Account

Date :

DP ID

Client ID :

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details :-

Holders Details

Sole/ First Holder's Name

PAN

UID

Second Holder's Name

PAN

UID

Third Holder's Name

PAN

UID

Name*

*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please tick whichever is applicable)

Status	Sub – Status
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify) _____	To be filled by the DP

SEBI Registration No.

(If Applicable)

SEBI Registration date :

RBI Registration No.

(If Applicable)

RBI Approval date

Nationality Indian Others (specify) _____

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be Physical)	

Clearing Member Details (To be filled by CMs only)

Name of Stock Exchange	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Name of CC / CH	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Clearing Member Id	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Trading member ID	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

I / We wish to receive dividend / interest directly in to my bank account given below through ECS (if not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time] Yes No

BANK DETAILS : (Dividend Bank Details)

Bank Name

Branch Name

Bank Address

City

State

Country

Pincode

Bank A/c No.

A/c Type Saving Current Others (Specify)

Bank Code No.(9 digit MICR code on the cheque book issued by the Bank.)

IFS Code (11 Character)

(i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)

(ii) Photocopy of the Bank Statement having name and address of the BO (or)

(iii) Photocopy of the Passbook having name and address of the BO, (or)

(iv) Letter from the Bank.

◆ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

**Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL
(SMS Alerts will be sent by CDSL to BOs for all accounts and for all credits as well)**

Definitions : In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the Depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO’s personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction :

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

B.O. ID.

	Name	Signature
Sole / First Holder’s		1st <input type="text"/>
Second Holder’s		2nd <input type="text"/>
Third Holder’s		3rd <input type="text"/>



Mobile Number on which message are to be sent : +

(Please write only the mobile number without prefixing country code or zero)

The Mobile Number is registered in the name of :

(Please write only one valid email ID on which communication; if any, is to be sent)

E-mail ID :

Place : Date :

INSTRUCTIONS FOR THE APPLICANTS FOR A/C OPENING

1. Signatures can be in English or Hindi or any of the other languages contained in the 8th schedule of the Constitution of India. Thumb impressions and signatures other than the above mentioned must be attested Magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
2. Signature should be preferably in black ink.
3. Details of the Name’s, Address, and Tel No. etc. of the Magistrate / Notary / Special Executive Magistrate are to be provided in case of any attestation done by them.
4. In case of additional signatures, separate Annexure should be attached to the application form.
5. In case of applications under a power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof must be lodged along with the application.
6. All correspondence / queries shall be addressed to the first / sole applicant.
7. Strike off whichever is not applicable.

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository.
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
 11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
 12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
 14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
 15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
 16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
 17. Indemnity: In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
 18. Disclaimer:
Depository shall be absolved of any liability in case:-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

ANNEXURE - A Tariff applicable to Beneficiary account opened on CDSL-DP w.e.f 15th February, 2012

Sr.No.	Description	Without Limited POA		With Limited POA	
		A- Scheme		B- Scheme	
1	Account Opening Charges(in Rs)	NIL		NIL	
2	Documentation Charges Individuals & Corporates NRIs	NIL NIL NIL		NIL NIL NIL	
3	* AMC Charges Individuals Corporates NRIs a) *For Annual b) For 5 years c) For 10 years	Rs. 500 (+ GST) Rs. 1000 (+ GST)		Rs. 300 (+ GST) Rs. 1000 (+ GST)	
4	Purchase(Market/OffMarket)	NIL		NIL	
5	Sales within ZEN (Market/Off- Market)	Rs. 25		Rs. 15	
6	Sales Outside ZEN (Market/Off- Market)	Rs.50 or 0.05% of Value whichever is higher		Rs.50 or 0.05% of Value whichever is higher	
7	Dematerialisation Charges	Rs.3 per Cert + Rs.25 or actual cost as courier charges, whichever is higher		Rs.3 per Cert + Rs.25 or actual cost as courier charges, whichever is higher	
8	Rematerialisation Charges	Rs 12 per 100 shares/part quantity or Rs 12 per certificate, whichever is higher + Rs 25 or actual cost as courier charges, whichever is higher		Rs 12 per 100 shares/part quantity or Rs 12 per certificate, whichever is higher + Rs 25 or actual cost as courier charges, whichever is higher	
9	Pledge(Creation/Closure/Invocation)per Transaction	Rs. 50		Rs. 50	
10	Additional Statement	Rs. 25		Rs. 25	
11	DIS Booklet (For 10 Leaves)	Rs. 50		Rs. 50	

NOTE:

- Transaction cum billing statements will be sent monthly provided there is a transaction. If there is no transaction, a statement will be sent once in a quarter.
- * This scheme will be valid for one year and will be automatically renewed at the end of the year, unless a written communication is received at our H.O.
- The above rates are based on the existing CDSL charges and will be revised on revision by CDSL. The charges are also subject to revision by Zen Securities Ltd.
- GST would be levied on all transactions as applicable.
- "In case of Corporate A/c*, AMC of Rs 1000/- per annum is payable(Rs 500/- for CDSL and Rs 500/- for Zen)"
- In case of Closure/Shifting of A/C by the client, Proportionate AMC is applicable as per CDSL Norms.
- To open an investor account with limited POA, customer should have a Trading Account or simultaneously open a Trading Account with ZSL
 - Customer needs to execute onetime Limited "POA" to avail hassle free, paper less "Automated Pay-in" facility for his sales in ZSL Trading Account.
- Tariff scheme(tick appropriate box) A Scheme B Scheme



First Holder Signature

 2nd

Second Holder Signature

 3rd

Third Holder Signature

Mandatory Policies and procedures of Zen Securities Limited (ZSL)

(This is a mandatory document and the same is required to be executed by client. The following are the policies and procedures of ZSL pursuant to SEBI circular MIRSD/ SE/ Cir – 19/2009 dated December 3, 2009.)

Refusal of orders for Penny stocks:

ZSL may refuse execution of orders in penny stocks or illiquid stocks or stocks having low liquidity or stocks in S,Z and B2 category or any other stocks which are quoting less than Rs. 10/- or ZSL may accept, refuse or partially accept any buy or sell order for execution from a client, as a part of due diligence and risk management policy. I / we agree to the same and undertake that I / we will not question the same.

Setting up of Client's Exposure Limits:

ZSL may at its discretion give the exposure, equivalent to pre-determined multiple times of the net credit balance in the ledgers (across all segments), and the value of the collaterals given by the client (after applying appropriate hair cut). The level of exposure granted to the client will also depend on the track record of the client and / or market conditions and / or regulatory directives and or policies of ZSL. I / we agree to abide by exposure norms decided by ZSL, from time to time.

Applicable Brokerage rates:

The client agrees to pay brokerage to ZSL as per the agreed terms. The brokerage rates vary from client to client. ZSL agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and bye-laws of the relevant stock exchange / SEBI. I / we agree for the same.

Imposition of Penalty/ Delayed payment charges:

ZSL reserves the right to levy penalty/delayed payment charges @ 18% p.a or at any other percentage as decided by ZSL from time to time, on the delayed payment beyond T + 2 day . ZSL shall pay delayed payment charges @18% p.a. for the delayed period in the event of delay in making payment to the client beyond 1 working day upon receipt of request from the client for pay-out. ZSL is authorized by the client to pass on to the client any penalty imposed by any Exchange/ SEBI / any other regulatory authority, which arises on account of the client. I / we agree for the same.

Right to sell client's securities or close client positions:

ZSL reserves the right without prejudice to the other rights (including the right to refer matter to arbitration), with or without giving notice, to liquidate / close out all or any of the client's positions including intraday positions for non-payment of margins, MTMs or insufficient balances or any other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation/close out, if any, against the client's liabilities/obligations/ margins etc. Any and all losses and financial charges on account of such liquidation / closing out shall be charged to and borne by the client. I / we agree for the same.

Shortages in obligations arising out of internal netting of Trades:

ZSL may not be in a position to deliver shares purchased by client in the event of internal shortages and in such circumstances ZSL may cover such internal shortage upon noticing the shortage on or after T + 2 day. ZSL reserves the right to prescribe procedure from time to time for close out of transaction in case of non-availability of shares for covering. I / we agree for the same and will not question the procedure of ZSL in this regard.

Client may not be allowed to take further positions or TM may close the existing position of the client

The client may not be allowed to take further position or ZSL shall close the existing position in the event of : a) non-payment of settlement obligations, margins, MTMs or other amounts or other debts with in the scheduled time to ZSL. b) death or insolvency of the client or his / it's otherwise becoming incapable of meeting the settlement obligation; c) directives received from time to time from exchange / SEBI/ regulatory authorities. d) a client makes a request in writing for discontinuance of trading account. e) any arbitration proceedings between the client and ZSL; f) the client incurs/ incurring abnormal notional loss/ MTM; g) the ZSL exceeds the scrip wise open positions/market wide positions as fixed by the exchanges. h) the client exceeds specific scrip/contract limits fixed by the ZSL from time to time, (i) exercising the discretion by ZSL. I / we agree for the same.

Temporarily suspending or closing a client's account

ZSL shall suspend the trading account temporarily or close the client account a) at the client's request upon the receipt of the same in writing provided that there are no dues of funds and securities from the client. b) where the client has not cleared the naked or uncovered debits which are more than 5 days c) where the client account is under investigation by any regulatory body d) where the client is inactive for more than one year e) where the client has not updated the change in personal communication details like change in address, bank details, email id, mobile no., land line no. etc f) where the client is reported to or known to have expired. ZSL reserves the right to recovery of outstanding dues including securities, if any, due to ZSL from the client. I / we agree for the same.

Deregistering a client

The client will be allowed to close/ deregister the trading account a) only upon receipt of written request and on clearing of all the dues in respect of funds and securities to ZSL. b) based on action taken SEBI/ Exchange/ any other regulatory body or being part of list of debarred entities published by SEBI. c) when the client is having suspicious background, link with suspicious organizations etc on the basis of information found in the website. In such an event ZSL may freeze the available securities / funds of the client. However no documents that were submitted by the client to ZSL will be returned to the client. I / we agree for the same.

I/We have clearly understood and agree to abide by aforesaid policies and procedures.

I/We also understood and agree that these policies and procedures can be changed by ZSL from time to time subject to posting of the amendments and modification therein on its website and their applicability with prospective effect.

Client Signature : 

 13

TARIFF SHEET

Sl.No.	Description					
1	Brokerage @ ^	Equity	Futures	Options%* or flat rate	CDS (Currency Derivative segment)	Uniform %
	Delivery/CF			*per lot		
	Delivery/CF-Min.(in Rs)			-do-		
	Intraday			-do-		
	Intraday-Min.(in Rs)			-do-		
	Below par scrips #			---		
	Z, T2T scrips	min 0.75% *			---	
2	Transaction Charges ^	NSE - 0.00325% BSE - a)A, B groups - Rs. 1.50 per trade b) XC, XD, XT & Z group scrips traded through odd lot mechanism except M & MT groups - 0.1% c) M & MT groups - 0.00275% d) OFS & OFB segment - 0.003%	0.0019%	0.05%	NSE Currency - @0.00215%	
3	SEBI Charges ^	0.00015%	0.00015%	0.00015%	0.00015%	
4	STT	Intraday - 0.025% Delivery - 0.100%	0.010%	0.05% (premium) 0.125%♣ (on settlement price)	0.01%	
5	GST					18.00%
6	Stamp duty					\$ For every 10000/- Rs.1.00 Maximum Rs100 rounded off to Rs.1/-
7	Professional Clearing Member(PCM) Charges	----- 0.01%	NSE = 0.001%	NSE = 0.001%	NSE = 0.001%	

NOTE:

- @ Brokerage charges may vary from client to client which is as mutually agreed between the relevant client and ZSL. In case the brokerage amount levied is less than Rs 25 per contract note per segment of an exchange, then the sum of brokerage levied plus 'handling charges' levied shall be equal to Rs 25. The 'handling charges' as calculated above are levied in order to recover the costs of various types of expenditure incurred by the Brokerage House for servicing the clientele. The GST as applicable is also levied on the 'handling charges'."
- * Percentage or fixed /Minimum brokerage may be subject to change from time to time, with intimation to clients.
- STT, stamp duty, GST, Transaction charges, SEBI Turnover charges are subject to change from time to time.
- ^ GST applicable on brokerage and transaction charges.
- \$ Stamp duty as prevailing in Telangana state and in other cases as prevailing in the concerned state.
- # For below par scrip's, brokerage as agreed with the client or as allowed by the Exchanges.
- ♣ STT for sale of Options in securities, where Option is exercised (Purchaser).



Brokerage Code:

To,

M/s. M/s. Zen Securities Limited, GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,
Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500 090.

Dear Sir / Madam,

1. I / we hereby declare that I /we am /are not acting as sub-broker or remiser and doing business only on my /own personal behalf. I/ We agree and undertake to indemnify Zen Securities Ltd from any loss/damage/claim arising out of any unregistered sub broking activity, if any, by me/us.
 2. I / we declare that I / we am /are fully aware that multiple trading accounts are not permitted across Zen Securities Limited and declare that I / we am/are not registered with any other branch of you.
 3. I/we declare that I/we am/are fully aware that M/s. Zen Securities Limited does client based business and also own / proprietary trading as well. I/we have no objection for the same.
 4. a) I / we hereby agree that all the financial transactions between me /us and ZSL shall be through account payee cheque only and no demand draft / pay order or cash transactions are permitted on either side I / We hereby further agree that any claim of cash transaction by either party shall not be entertained by the other party.
b) I / we hereby agree not to issue third party cheques / demand drafts / pay orders for my / our transactions with ZSL.
 5. I / we hereby agree to give/take delivery of securities in demat mode from/to my/our own beneficiary account only. I/we here by agree not to issue third party delivery instructions for my/our sale transactions. Further I/we agree not to deposit blank or unfilled delivery instructions with any of your office. It is my/our responsibility to ensure that the shares are transferred exchange wise and settlement wise to the concerned demat pool account of ZSL for effecting delivery to the exchange against my/our sale position/s. I/we further ensure that the shares will be transferred within the pay-in schedule given by exchanges/ ZSL/SEBI. Incase I/we fail to transfer the shares on time to ZSL, I/we shall not hold ZSL responsible for any loss/damages arising out of such delayed transfers. I/We agree and undertake that it is my/our responsibility to ensure that the DIS is filled properly, fully and correctly. I/We undertake that I/We will not hold ZSL responsible for any loss/damages arising out of such rejections of DIS due to the DIS not being in order.
 6. I/we hereby agree that the duly executed contract note issued by you viz. Zen Securities Limited shall only be valid and binding for all legal purposes.
 7. I / we hereby accord my/our consent to you to do transactions in my/our trading account based on oral instructions over phone or otherwise. I/we also undertake to raise objections, if any, in writing, with ZSL within one day of receipt of contract note.
 8. I/We hereby agree not to enter into any securities transactions/ financial transactions/private deals with co-investors and employees/franchisees/associates of ZSL and I/we am/are confined only to getting my/our orders executed thru the trading terminals of stock exchanges and settling those transactions as per settlement Schedules of the exchange.
 9. I/we hereby agree to indemnify ZSL fully for any losses arising out of the delivery transactions in the securities held by me/ us or jointly with any other person/s.
 10. I/we hereby accord my/our consent to ZSL to debit my/our trading account to the extent of amount due by me/us to your depository division.
 11. I/we agree to provide additional information, details, documents etc. pertaining to me/us as required by NSE, BSE, MSEI, SEBI or any other statutory bodies from time to time.
 12. I/we agree to provide valid email id at times in case I/We wish to deal in F&O segment. In the event of email sent by Zen is bounced for any reason, I/We shall forthwith check and arrange valid email id within 10 days for uninterrupted email from zen for contract notes, margin statements, statement of accounts etc.I/We understand in the event if I /we could not provide valid email id within said 10 days, my/our trading in F&O segment will not be permitted till the same is submitted.
- Exposures**
13. ZSL may at its discretion gives the exposure, equivalent to pre-determined multiple times of the net credit balance in the ledgers (across all segments), and the value of the collaterals given by the client (after applying appropriate hair cut). The level of exposure granted to the client will also depend on the track record of the client and / or market conditions and / or regulatory directives and or policies of ZSL. I / we agree to abide by exposure norms decided by ZSL, from time to time.
 14. I/We agree that ZSL has the discretion to decide the stocks, which can be provided as margin/collateral. I/We agree for the appropriate hair cut (at the sole discretion of ZSL) for arriving at the value of the collateral shares given by me/us. I/we agree and will not raise any objection on the haircut percentage decided by ZSL from time to time.
- Margins**
15. I/we am/are agree that ZSL may collect appropriate margins from the clients for cash market/futures & options transactions/positions in view of its own internal Risk Management Policy/ Exchange/SEBI guidelines.
 16. I/we am/are agree that ZSL has the discretion to decide whether the margin will be taken in cash or in stocks. I/We agree that even though I/We might be having adequate margins in the form of stocks, ZSL may demand the margins in cash depending on market conditions and the same will be paid by me/us within the time given by ZSL. In case I/ we fail to pay the amount within the time given, the ZSL can initiate selling of my/our stocks without intimation at their sole discretion.
 - 16.1 Not with standing anything contained the above clauses in case of trading in derivative segments of F&O and currency segment, if there is any shortfall/ insufficient margins at anytime, the penalty as prescribed by SEBI/ Exchanges will be passed debited to the client Account. I/ We agree for the same.
 17. I/We agree that ZSL may demand additional margin (over and above the mandatory margins) from me/us, as apart of their risk management. Such margins may vary from client to client and I/we agree to pay as and when informed to me/us.
- Squaring off of positions**
- Square off of Intra day positions:**
18. I/We agree and undertake that all the positions taken on intraday basis shall be closed/squared off by me/us at least half-an hour before close of trading. ZSL may also square off all the open positions taken by me/us on intraday basis during

last half an- hour before the close of market without intimation to me/us at it's sole discretion. I/we am/are agreeable for such square offs by ZSL with or without intimation to me/us. In case I/We do not want such square offs I/We agree to convert/transfer/ change, at least half-an-hour before the close of market, the intraday trades to Delivery trades by providing sufficient credit balance/s in my/our account with ZSL for meeting delivery/pay-in obligation.

Square off of Delivery positions:

19. I/We agree and undertake that I/we shall settle the transactions, within the exchange specified settlement time, by making the requisite payment and/or delivery of the shares sold. I/We agree that in case if I/We fail to settle the transactions within the settlement date, then ZSL has the discretion to square off the open positions, as it deems fit, with or without any notice to me/us. I/We do not have the right to decide on the timing or the open positions that needs to be closed.

I/We understand and agree to the same and undertake that I/ We will not hold ZSL responsible for any loss or damages arising out of such squaring off. After such squaring offs of open positions by ZSL, as mentioned above, of both squaring off of Intra day positions and Squaring off of Delivery positions, if there is a debit balance, I/We shall pay the same immediately. I/We also agree that ZSL shall have the right to liquidate my/our shares (kept as collateral/margin or for any other purpose) to the extent of the debit balance, with or without any intimation to me/ us. I/We also agree that I/We do not have the right to decide on the timing of such liquidation of shares and the shares that needs to be sold. I/We undertake that I/We will not hold ZSL responsible for any loss or damage arising out of such selling.

Lien on Client's Account :

20. All the securities and monies belonging to me/ us in any depository or bank account or any other instruments which are in the possession of ZSL, shall be subject to a lien for the discharge of any obligation or indebtedness of me/us to ZSL. In enforcing the lien, ZSL shall have the sole discretion of determining the securities or assets that are to be liquidated.

Set off Across the Exchange/s :

21. " I/We agree that ZSL may set off credit balances of securities and/or funds in the accounts of mine/us if any, in relation to the said exchanges against debit balances of securities and/or funds in the accounts of mine/us in relation to the other exchanges"

physical contract notes :

22. "In case I/We opt for physical contract notes, I/We understand that the brokerage will be charged by ZSL as below:

if the total brokerage per contract is less than Rs 50/-then the brokerage is levied as follows:

a) in case the value of share is less than Rs 10/- then the brokerage will be charged at 25 paise per share subject to maximum of Rs 50/- and

b) in case the value of share is greater than or equal to Rs 10/- then the brokerage will be charged @ 2.5% subject to maximum of Rs 50/-"

Cheque bouncing charges :

23. I/We undertake to ensure that all the cheques issued by me/us to ZSL are honoured by the bankers. In case of any cheque bouncing, the charges for the same, as levied by the bank on ZSL, will be debited to my/our client's ledger account. Also, the cost of legal proceeding against me/us initiated towards cheque bouncing, will be debited to my/ our client's ledger account. I/We agree for the same.

Insider trading, manipulative, fraudulent and unfair trade practices :

24. I/We hereby agree that I/We have fully understood and I/We am/are aware of Securities and Exchange Board of India (Prohibition of fraudulent and Unfair Trade Practices Relating to Securities market) Regulations, 2003. and the SEBI (prohibition of insider trading) Regulations 1992 and provisions of prevention of Money laundering Act 2002. I/We represent, warrant and agree that I/We shall not indulge into any act or carry out any transaction or transactions, directly or indirectly which or in violation of acts, rules, regulations, bye-laws and circulars governing securities transactions. I/We further agree to provide such information as may be sought by ZSL in connection with any enquiry or investigation from any authority and shall provide all necessary assistance as sought by ZSL.

Reserve bank of India guidelines

25. I/We am/are aware that as per the RBI Guidelines, the Foreign Institutional Investors (FIIs), Non Resident Indians (NRIs), and Persons of Indian Origin (PIOs) are allowed to invest in the secondary capital markets in India through the portfolio investment scheme (PIS). Under this scheme, FIIs/ NRIs can acquire the shares/debentures of Indian companies through the stock exchanges in India. These investments are governed and monitored on daily basis by Reserve Bank of India (RBI). On reaching the aggregate ceiling limit as fixed by RBI from time to time, RBI advises all designated bank branches to stop purchases on behalf of their FIIs/NRIs/ PIOs clients. RBI also informs the general public about the 'caution' and 'stop purchase' in these companies through press release. I/We being FII/NRI/PIO hereby acknowledge that I/We am/are aware of the RBI guidelines in relation to my/our investment in the secondary market in the India. I/ We hereby agree to keep myself/ourselves abreast of the ceiling limits on the investments as published by RBI from time to time and also agree that I/We shall immediately reverse my/ our transaction, if such transaction breach the ceiling limits as imposed by RBI. In case I/We am/are unable to reverse such transaction/s immediately I/We authorize ZSL to do so under intimation to me/us.

Amendment

26. I/We understand that any term of agreement other than those prescribed by the SEBI shall be changed by giving 15 days prior notice and / or posting on the website.

Arbitration/legal expenses

27. In case of any arbitration/legal proceedings initiated either by ZSL or by me/us (the client) and the final arbitration award/ court decision is in ZSL's favour, then the cost incurred by ZSL towards the arbitration/legal case will be debited to my/our client's ledger account by ZSL. I/We agree to the same.

General

28. I/ we agree and accept that the price of securities can and does fluctuate, and that any individual securities may experience downward movements, and may under some circumstances even become valueless. I/We therefore appreciate that there is an inherent risks that losses may be incurred rather than profit made, as a result of buying and selling securities.

29. I/We understand and agree that it is the prerogative of ZSL to open any new trading account for any client. ZSL can refuse opening of any new account for any client. I/ We agree and understand that I/We cannot insist on, as a matter of right, ZSL shall open the trading account just because I/We have filled up the Registration Kit.

30. I/We understand and agree that the trades executed by me/us might result in profit or loss. I/We agree and undertake that I/ We will not deny the trades, if the same resulted in loss and shall not hold ZSL responsible for such losses, arising out of my/our trading decisions.
 31. All recommendations given by the Research Department of ZSL are based on technical and/or fundamental analysis of the securities/sector/market. I/We understand and agree that these are only recommendatory calls and I/We shall take my/our own decisions whether to act on such recommendations or not .
 32. I/We understand and agree that inadvertent errors may occur, while executing my/our orders. In such circumstances ZSL shall make all reasonable effort to rectify the same and ensure that I/We are not put to any monetary loss. I/We understand and agree that I/We will not hold ZSL responsible beyond this and I/We will not claim additional damages/loss.
 33. ZSL may record the conversations of the client with the dealer or any other staff of ZSL. I/We agree to the same and will not dispute or raise any objection on the same. Further I/We agree that such recording is final and binding on both of us.
 34. I/We undertake to read all the emails/sms messages sent by ZSL. I/We undertake not to hold ZSL responsible for any loss/ damages in case I/We fail to read those emails/sms messages. I/We understand ZSL may debit my/our a/c with charges for availing SMS facility at any time in future. In case I/we donot wish to receive SMS messages, I/we undertake to inform in writing to your H.O. at GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,Pragathinagar, Opp. JNTU, Kukatpally, Hyderabad -500 090.
 35. The systems used by ZSL are generally capable of assessing the risk of the Client as soon as the order comes in. However, due to any reason whatsoever, if the order is processed without sufficient risk cover from me/us, I/We shall be bound by such trade and shall provide such amounts as may be required to meet my/our liability under the trade.
- Online/Internet trading facility :**
36. (The following voluntary clauses are required in addition to all the above clauses for providing Online/Internet trading facility)
 1. ZSL has developed a website under the name " [https:// trade.zenmoney.com](https://trade.zenmoney.com) (hereinafter called " the website"). The website is owned, operated and maintained by ZSL. I/ We agree to avail the services facilitated by ZSL through the use of above website or any other website/s owned, operated and maintained by ZSL.
 2. By availing the Internet trading facility, I/We confirm that I/ We have knowledge of how the Internet works and it's usage and utility as a user of Internet. I/We agree not to hold ZSL responsible for my/our lack of computer/Internet knowledge.
 3. ZSL or the concerned bank will modify and/or add and/or upgrade from time to time the software/hardware/operating systems etc., which are required for the smooth and safe functioning of the Internet trading platform including Electronic payment Gateway for Net banking software. I/ We agree that I/We do not have any objection and undertake to accept such modifications and/or additions in hardware/ software at his end in order to be compatible with the facility offered by ZSL.
 4. It may be possible that ZSL might not be in a position to support particular software provided by the bank/s for the facility of Electronic payment Gateway. In such a situation it is possible that ZSL might not be in a position to continue offering Internet trading facility to the clients or offer the electronic payment gateway facility through a particular bank. I/We agree to the same and undertake not to hold ZSL responsible for any loss/damage arising out of the same.
 5. The operation of the bank account, exclusively used by the client for transactions executed through the Internet trading facility offered by ZSL, will be as per the RBI/concerned bank's guidelines.
 6. ZSL shall take reasonable care to ensure the security of and prevent unauthorized access to the Internet trading facility and/ or the Electronic Payment Gateway facility. I/We undertake not to hold ZSL responsible for any loss/ damage due to such unauthorized access beyond the control of ZSL.
 7. I/We agree that I/We shall not use or permit others to use the Internet trading platform and/or Electronic Payment Gateway facility for Net banking or any related services for any illegal or for other purposes than what it is meant for.
 8. I/We understand that the ZSL would allot them a unique Client-ID password for accessing the Internet trading platform, including the Electronic Payment Gateway for net banking services. Under normal circumstances, the unique ID and password will be sent to me/us, by courier/ post, at my/our risk.
 9. ZSL may specify the compulsory structure of the password like alpha numeric and special characters. I/We agree to abide by the same.
 10. I/We agree to change the password immediately, on receipt of the same from ZSL. I/We also agree to change the password on a regular basis as per ZSL's/ Exchange/SEBI guidelines. I/We agree to ensure that the password is kept confidential and not disclosed to any one. I/We undertake not to hold ZSL responsible for any damages/ loss due to password leak.
 11. ZSL may, at its discretion, may require the client to adopt such other means of authentication including, but not limited to digital certification and/or smart cards. I/We agree to abide by the same.
 12. I/We agree that I/We shall not attempt nor permit others for accessing the account information stored in the computers of the ZSL through any means other than the Electronic Payment Gateway for net banking services.
 13. As conclusive proof of transactions executed by me/us either through the Internet trading facility provided by ZSL and or through the net banking using the Electronic payment Gateway, ZSL will provide the relevant electronic logs available by way of system audit trail. I/We agree to accept the same. I/We also agree that ZSL may not be required to provide any additional evidences in proof of the transactions executed by me/us.
 14. I/We agree and undertake not to dispute the transactions because the same has resulted in loss and the proof given by ZSL, as mentioned in above paragraph, will be sufficient enough to ascertain whether I/We have executed the transactions or not.
 15. Any requests made by me/us for processing/effecting any transactions should be actually received by ZSL, even though I/ We must have forwarded the same from my/our end. I/We agree and undertake that I/We will not hold ZSL responsible for non-receipt of such instructions by ZSL.
 16. I/We undertake and confirm that I/We am/are eligible, as per the statutes, to avail the access for the Internet trading facility and net banking facility. I/We also confirm and undertake that I/We have not been prohibited, under law, to access the Internet trading and net banking facility and/ or deal in securities market.

17. I / We agree and accept that the Internet is an inherently unreliable medium of communication and provision of information services due to the public nature of the communication and that the accuracy, reliability and soundness of such means of communication and provision of services depends upon, amongst others, the service providers and the telephone, modem, cables systems, facilities and the like used and operated from time to time by such providers and other participants. I / We agree and accept that, as a result of such unreliability, there are risks associated in using such means of communication including the congestion, breakdown, interruption or failure of transmission of the Internet service or any communication equipment or facilities, errors, omissions or delays in the transmission and receipt of orders and other data and information and in the execution and confirmation of orders and /or the execution of orders at prices which may be different from those indicated on the service or prevailing at the time the orders were given. There are also other risks involved such as in the unauthorized access, tampering, modification or alteration of the service and /or the system components and software used or comprised in the service which may result in the use, manipulation, retrieval or the theft or loss of data and information, including my/our personal data.

18. I/We agree that I/We shall cooperate and provide, ZSL, with such information and/or assistance as is required by the ZSL for the performance of the services and/or any other obligations of the ZSL under this Agreement.

19. I/We agree and confirm that while availing the Internet trading facility, including the net banking facility through the electronic payment gateway/s, the same is susceptible to various risk like (a) misuse of password risk (b) Internet frauds (c) mistakes and errors (d) technical risks (e) systemic risks at the bank's end (f) systemic risks at the service provider end (g) technology risks (h) regulatory risks, etc. I/ We understand the same and undertake not to hold ZSL responsible for any loss and/or damage arising out of such unforeseen risks.

Demat Account :

20. I/We agree to open, maintain and operate a valid Demat account with ZSL. I / We shall provide a power of attorney to ZSL authorizing to debit/credit/block, create lien / pledge my/ our depository account through their authorized personnel, to the extent of the transaction conducted by me/ us and any dues payable to the ZSL.

Bank Account :

21. I/We agree to open, maintain and operate one or more bank accounts with a designated bank(s), which shall be used for the purpose of Internet Trading. I / We agree to provide the details of all such bank account(s) to ZSL. I/We agree to enter into an agreement with designated bank(s), if necessary. I/We agree that debit / credit of the bank transactions may be effected by ZSL in the bank accounts towards settlement obligations/margins, etc. I/We agree that ZSL shall have the right, at any time during the subsistence of this agreement, to give any instructions (i.e. transfer / hold / block / endorse / debit / credit etc) pursuant to transactions covered under the agreement to the banks as mentioned herein above. I/we agree for the same. I/We agree that ZSL may, without assigning any reason thereof and without issuing any notice of the same to me/us, reveal information available with ZSL in the course of the arrangement with me/ us, to the bank(s) as mentioned herein above, pursuant to these presents and I/We shall have no

remedy there from. I/We agree that any Margin due or shortfall in Margin or any other charges, ZSL is authorized by me/us to block/ transfer / hold / block / endorse / debit the required amount in the designated bank account.

22. I/We agree to pay ZSL a monthly user charges of Rs 500/- (Five hundred rupees) or as modified from time to time, for availing this online / internet trading facility. ZSL, reserves the right to debit these charges to my trading ledger account on a monthly basis

User name and password/access to the internet trading system

23. ZSL will allot a Username, Password and a PIN, which enables to establish my/our identity, at the time of accessing the ZSL's Internet Based Trading System for availing the services. I/We agree to provide the below required login information, which shall be used by the ZSL to establish my/our identity at the time of accessing the ZSL's Internet Based Trading System and also at the time of availing the services offered by the ZSL. The Information given herein below may be used, by maintaining the privacy of information, by the ZSL to ensure my/our bonafide identity.

Mother's Maiden Name : _____

Place of birth _____

(Village/town) _____ State) _____

24. I/We agree and accept that ZSL shall be entitled to withdraw this service, at any time, without assigning any reasons thereof.

Mobile Declaration

37. a. I/we have been informed by ZSL about the requirement of providing the particulars of mobile telephone for receiving alerts in respect of DP/Trading Account and for other services offered by ZSL.

b. I/we are providing the details of mobile connection as mentioned below for receiving the above mentioned message services which belong to me/us or which does not belongs to me/us and the same is in the name of my/ our relative. (Strike off whichever is not applicable)

Mobile No.....

Name of the holder of Mobile phone

c. I/we understand and hereby accept that any messages/ alerts sent to the above mobile phone which is my designated mobile, would be deemed to have been delivered to me/us.

d. I/we hereby agree to intimate to ZSL immediately regarding discrepancy/errors in the said messages/alerts.

e. I/we undertake to inform you in writing of any change in the Mobile Phone connection or loss/ misplacement of mobile immediately.

Business Policy of Zen securities Ltd in Derivative segments of NSE/BSE/MSEI

38. I/We am/are aware that derivative products will increase my / our exposure there by increasing my/our risk. I/we am/are agreeable to your terms of Derivative segment/s business policy of ZSL in NSE / BSE / MSEI.

I/We agree that:

a) I/We transfer funds through any one of the online banks like., ICICI BANK, HDFC BANK, Karur Vysya BANK, ING VYSYA BANK & AXIS BANK, etc. I/We am/are providing a copy of my/our latest online bank statement (which will be used for my/our trading A/c with you) as an enclosure.

b) ZSL will give me/us maximum gross exposure limit of Rs.1 Crore at any point of time. If the exposure increases to more than Rs.1 Crore due to market appreciation I/ We will necessarily calibrate down the exposure to Rs.1 crore at the time of rolling over.

c) The Initial margin payable to the NSE/BSE/MSEI exchange/s under F&O Segment has to be paid in cheque in full. The initial margin payable under currency segment will be 7% or as levied by exchange which ever is higher.

d) The MTM loss on any trade day is to be paid by the same day evening by 6.30 p.m. failing which ZSL may reduce my/our positions to the extent of MTM loss by squaring up on the next day.

e) Any penalty amount levied by exchange/s for non-availability/shortage of funds and /or collaterals for meeting exchange/s margin requirements of my/our Account will be debited to my/our ledger account

f) For effective communication, I/We have opted for digital contract note. I/We am/are also providing my/our mobile declaration

so that ZSL can communicate directly or through SMS to my mobile no.

I/we request ZSL to permit me to do business in Futures and Options, currency derivatives segment/s of NSE/BSE / MSEI.

Authorisation to Debit DP charges

39. I/we hereby authorize you to debit my/our trading account held with you for all the charges relating to my/ our demat account with ZSL DP. The charges applicable towards the transfer of securities in the settlement/demat account are as following:

a. DP account opening charges

b. DP transaction charges/pledge/repledge/demat/remat charges

c. DP inter segment charges

d. DP inter segment/exchange charges

e. DP annual maintenance charges

f. DP inter settlement charges

g. Courier charges

h. Off market transaction charges

i. Any other relevant charges

Prevention of Money Laundering Act(PMLA) Declaration

40. I/We having the trading account with ZSL confirm and declare that I/We had read and understood the contents and the provisions of the PMLA Act, 2002 and it was also explained by ZSL official. I/we further declare that I/we shall adhere to the rules and regulations and requirements mentioned in the PMLA Act, 2002.

ZSL Policy For Inactive Clients

41. ZSL shall temporarily suspend the client account that is inactive for a period of over one year. ZSL shall return the balance of funds and securities, if any, lying with ZSL by issue of cheque/transferring of securities to the client's demat account. In the event of any dues of funds/securities are pending to ZSL by the client and if no response is received for the notice given by ZSL, then ZSL reserves the right to recovery of such dues by selling the securities, if available, or of initiating the legal proceedings or any other action as ZSL deems fit. In case the client wishes to recommence the trading activity after one year, the client account will be activated by obtaining the documentary evidences including address proof, pan copy etc. I/We have noted the same.

Disclosure of Proprietary Trading by Zen Securities Ltd.

42. I/We understand that Zen Securities Limited has been doing proprietary trading in Cash F&O, CDS segments and intend to do Proprietary trading in any other product / segment at any time. I/We have noted the same and have no objection for the same.

43. **After Market Orders(AMO):** I /We understand that ZSL may provide the facility of placing AMO on next working day on a best effort basis to its clients. In case AMO are not placed/ routed in the market by ZSL due to any technical issues or any reason I/We do not raise any objection/make claim, in any form on ZSL. Further I/We indemnify ZSL from any monetary losses/ legal issues etc in this regard. I/ We agree for the same.



Voluntary

FEMA DECLARATION (For NRI Clients)

M/s. Zen Securities Limited,
GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,
Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500 090.

Sub: Declaration for FEMA regulations

Dear Sir/Madam,

I/We _____, here by undertake that whenever I/we purchase/sell any security through my/our trading account with ZSL I/we shall report such transaction on a daily basis . I/we also hereby declare that I/we have complied and shall continue to comply with all the FEMA regulations from time to time.

Client Signature :

(For NRI clients only)

RUNNING ACCOUNT AUTHORISATION

("This document is required to be executed by the client in order to avail the facilities of seamless trading platform with integrated demat and broking accounts as all the clauses of SEBI cir no MIRSD/ SE/Cir-19/2009 dated 03.12.2009, are incorporated")

To _____ Date : _____

M/s. Zen Securities Limited, GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,
Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500 090.

Dear Sir(s)

Sub: - Running Account Authorization for funds and securities. (for all exchanges/segments and for other services offered by ZSL)

I/We am/are an investor dealing in securities through your company. As I /we am/are active investor in order to continue trading activity without interruption, I/we wish to opt for running account system for all exchanges/segments and other services offered by ZSL. I/we need the following facilities in view of the operational issues and I/We request you to extend the same to me/us.

I/We request you to kindly retain my/our securities bought/excess delivered/given in advance with you only without insisting for delivery within 24 hours of payout. I/We shall specifically make a request to you for the delivery as and when required by me/us. I/We also further request you to consider such securities subject to haircut towards various margins debited to my/our account from time to time irrespective of the segment. Further I/we request you to transfer the required quantity of shares against my/our sale transactions in the subsequent settlement(s).

I/We request ZSL to keep my/our funds pay-out/ given in advance /given in excess/given for various margins purpose/given as a security deposit with you only, without insisting for taking payment within one working day of pay-out. In this regard I/we specifically shall make a request to ZSL for the payment as and when I/We require the funds and until such time I/We authorize ZSL to retain such funds with ZSL only. I/We understand that ZSL shall make payment of such retained funds within one working day from the date of receipt of such request from me/us. I/We further request you to consider such funds towards various margins of any segment/s payable by me/us and also towards settlement obligation of any purchases made by me/us subsequently and also towards any payment obligation for any type of financial services/schemes offered through ZSL.

I/We understand and agree that it is my/our responsibility to track and advise you to transfer the securities held in/our trading account to my/our demat account for the purpose of availing the benefits of corporate actions.

I/We agree that ZSL is not responsible for any follow-up and applying to companys/RTA(s) for the benefits of corporate actions on my/our behalf for securities held in my/our trading account and I/we will not hold ZSL for any financial implications arising thereto.

I/We authorize ZSL to transfer the balances of funds and securities in one exchange /segment to another exchange/segment as and when debit / requirement arises.

I/We maintain and operate a Depository Beneficiary (BO) account with ZSL DP of NSDL/CDSL. And I/we hereby authorizes ZSL to debit all charges of all my BO accounts to my trading account.

I/We further understand that I/we can revoke this authorization at any time by giving notice in writting to H.O. of ZSL and the running account authorisation would continue until it is revoked.

I/We further understand that the actual settlement of funds and securities shall be done by ZSL at least once in a month/quarter and ZSL shall send a copy of statement of accounts containing the extract from the clients ledger for funds and an extract from register of securities displaying all the receipts/deliveries of funds/securities.

Settlement of Funds/ Securities preferred atleast on (tick appropriate box) Quarterly Monthly

To address the administrative / operational difficulties in settling the accounts, I/We agree for retaining by ZSL, an amount of funds upto Rs 10,000/- or any other amount (net amount across all segments and stock exchanges) as prescribed by SEBI / Exchanges from time to time.

I/We understand that there shall be no inter-client adjustments for the purpose of settlement of the 'running account'.

I/We understand and agree that I/we shall bring any dispute arising from the statement of account or settlement to the notice of ZSL within 7 working days from the date of receipt of statement of funds and securities.

Client signature :



voluntary

LIMITED POWER OF ATTORNEY

To all to whom these presents shall come, I _____
S/o, D/o, W/o _____, resident of _____
_____ or We _____ an a sole
proprietary concern/ a partnership firm/ a body corporate/ trust, registered/ incorporated under the provisions of the relevant Act,
and having its registered office at _____

_____ have been
availing /wishes to avail the trading facilities including the trading facility through internet/mobile, in Capital market /F&O /
Currency derivatives segment/s including interest rate futures, and Depository services through their DP's National Securities
Depository Limited (herein after referred to as NSDL) & Central Depository Services Limited(herein after referred to as CDSL)
with DP ID's IN302863 & 12038100 respectively, Mutual Funds / IPO's /FPO/Portfolio Management services and trading facility
for all exchange traded funds(ETFs), and any other investment facilities offered, from time to time, by Zen Securities Ltd, (a
company incorporated under the companies Act, 1956, having its registered office at 6-3-788/32, 3rd Floor, Vamsee Estates,
Ameerpet, Hyderabad - 500 016) Member, of National Stock Exchange of India limited with SEBI registration No's. INB230684937,
INF230684937& INE230684937, Bombay Stock Exchange Limited with SEBI registration No's. INB010684935 & INF010684935,
MSEI with SEBI registration No. INB260684932, INF260684932, INE260684937,(herein after referred to as 'exchanges') AMFI
Distributor Regn. No. ARN- 0507 empanelled as Participant in NSE MFSS & BSE Star MF, represented by its Directors, Executives,
officers, legal representatives, executors, and administrators, duly authorized by it, as the case may be.

I/We have opened trading account for availing trading facilities, including trading through internet/mobile bearing Client code
number _____ with Zen Securities Ltd (hereinafter referred to as the trading account) for trading/investing in capital
market /F&O /Currency derivatives segment/s including interest rate futures of the exchanges and for availing the online services,
IPO, MF and other services.

I / we have opened demat account(s) No.1) Client ID IN302863 _____, 2)Client ID
12038100 _____ with Zen securities participant of NSDL & CDSL having DP ID IN302863
& 12038100 respectively (hereinafter referred to as designated demat account/s).

I / we have opened bank account(s) with 1) bank a/c no. _____ in _____ bank having its
branch at _____ and having MICR no _____ and IFSC Code
_____ ;2) bank a/c no. _____ in _____ bank
having its branch at _____ and having MICR No _____ and IFSC No.

_____ which will generally be used by me/us for the purpose of payments towards the investment made by
me / us or to meet the settlement obligations and other investment services (herein after referred to as designated bank account/s). In
order to ensure that I/we meet my/our settlement obligations, within the stipulated time, to the respective exchanges for my/our
transactions executed through trading facilities offered by Zen Securities Ltd (hereinafter referred to as ZSL) and in order to facilitate
proper execution of all the transactions including but not limited to transactions for purchase, sale/redemption of investment
instruments of various types, subscription to IPO's/FPO/Right Issues/ Preferential Offers/open offers/or any other offer of public
issue of shares, debentures, derivatives, including but not limited to forwards, swaps, options, scrips, stocks, bonds, mutual fund
units including systematic investment plans (SIP) or any other collective investment scheme, any exchange traded funds(ETFs)or any
other financial security or any other investment scheme or instrument and to sell the securities on my/our behalf in any buyback or
open offer made by the Issuer of such securities or any other financial product or portfolio management services offered by Zen
Securities Limited through its web site www.zenmoney.com. I/we have read and understood and agreed to be bound by the terms
and conditions specified therein by Zen Securities Limited as amended from time to time and I / we hereby appoint, nominate and
constitute ZSL, as my / our true and lawful attorney (hereinafter called as "attorney" for the purpose of brevity, which expression
shall, unless repugnant to the meaning or context thereof be deemed to mean and include it successors, legal representatives,
executors, administrators, assignees) to do all or any of the following acts, deeds, things for and on my / our behalf and at my/ our
risk and costs, and to perform or execute all or any of the following acts, deeds, things mentioned hereunder :

1. To transfer and /or to pledge in favour of ZSL / Exchange(s), securities available with ZSL on my/our behalf and / or in the
electronic form in my / our designated demat account(s),in order to meet my/our settlement obligations, any margin and/or MTM
requirements arising out of trades executed on the stock exchange on my/our behalf to the respective exchanges for the transactions
done in my /our trading account.

2. To transfer the necessary funds, from the credits available in my/ our trading account, and/or from my/our designated bank
account(s) for the purpose of meeting my/our funds settlement obligations, margin requirements, MTM obligations in connection
with the trades executed by me/us through my/our trading account on various stock exchanges and other agencies. I/We hereby
authorize ZSL to issue necessary instructions, on my/our behalf, to the designated bank(s) in this regard. I/we undertake that I/we will
intimate the designated bank account(s) to accept such instructions from ZSL for transfer/debiting of funds from the designated bank
account(s) towards various charges payable by me/us to ZSL pertaining to my/our transactions in my/our designated demat
account(s),bank accounts(s),trading accounts and other charges/fees for availing SMS alerts/internet trading and other services.

3. To apply in IPOs/FPO/Right Issues/ Preferential Offers/ Public offers of various companies and to / invest and / or apply for
redemption in various Mutual Fund Schemes, and or in any investment scheme on my / our behalf as per my / our consent (either
written consent or any other mode prevailing in the capital market) given each time, through the trading facilities offered by ZSL. ZSL
shall apply for investment/redemption in the said issues of companies or Mutual Fund Schemes by signing the relevant forms and
other relevant documents as may be required for this purpose, on my/our behalf.

4. To debit / transfer/block money from my / our designated bank account(s) or to debit my/our trading account ledger maintained
by ZSL equivalent to an amount, required for applying the IPOs/FPO/Right Issues/ Preferential Offers/ Public offers of various
companies and/or to invest in the various Mutual Fund schemes and/or any investment scheme/ any exchange traded funds (ETFs)
along with other charges, if any. I / we hereby authorize ZSL to issue necessary instructions, on my / our behalf, to the designated
bank(s) in this regard. I/we undertake that I / we will intimate the designated bank(s) to accept such instructions from ZSL for transfer
/ debit /blocking of funds from the designated bank(s) account(s) to the issuer company, entity, agency directly. I/We hereby
authorise ZSL to sell the MF units / IPO shares allotted and recover the money due in my/our account in case the amount required
for applying the MF units/ IPO shares are not paid by me/us.

- To send sms (short message service) alerts/e-mail messages on a daily basis regarding broking transactions(consolidated summary of client's scrip-wise buy and sell positions taken with average rates), debits, and for any or all the other services provided by ZSL as mentioned in the above clauses and for this purpose the mobile no./e-mail id given by me /us is my/our own and in case the mobile no./e-mail id given by me/us is not mine/ours, I/we confirm that the sms alerts sent to such mobile number /e mail id are deemed to have been sent to me/us. My/our designated Mobile No/e-mail id for the said purpose is same as mentioned in KYC. In case of any change in designated mobile No./e-mail id, I undertake to inform the details of such change to ZSL immediately in writing.
- To give instructions to Asset Management Companies / their Authorized Registrars for converting my/our offline folios to online folios and to sign on my/our behalf on the documents necessary for such conversion as required by the Asset Management Companies/their Authorized Registrars. I/we agree that all or any such acts, deeds, things done by ZSL as mentioned in this power of attorney shall be deemed to be the acts, deeds, things done by me/us.

I/we agree that ZSL shall not be responsible /liable for any loss that may result from inadvertent errors, failures/inability in electronic connectivity or rejection of my/our application for any reason whatsoever.

I/we understand that in case of merger/demerger of stock broking wing/depository wing of ZSL at any time with any other entity/into any other entity the scheme of merger/demerger should be approved by High Court and one month prior intimation shall be given to me/us to decide to continue or discontinue with ZSL.

I/we understand that ZSL would return to me/us the securities or funds that may have been received by it erroneously or those securities or funds that it was not entitled to receive from me/us. Similarly I/we shall return to ZSL the funds & securities erroneously received from ZSL at any time which were not entitled to receive by me/us.

I/We understand ZSL to transfer the balances of funds and securities in one exchange /segment to another exchange/segment and/or to transfer the balances of funds and securities in one exchange /segment of trading account to my / our Commodities trading account with your subsidiary Zen Comtrade Private Limited or vice versa as and when debit arises and / or for margin requirement as and when required. I/we agree for the same.

I/we understand that this POA is being executed by all the joint holders(in case of demat account held jointly). If the constitution of the account is changed for whatever reason ,a new POA shall be executed and the onus of intimation of change of constitution to ZSL shall be mine/ours. I/we agree for the same.

I/we have given this power of attorney on my/our own account and I/we am/are aware that the first lien on my/our designated demats account(s) and bank account(s) lies with me/us.

I/we understand that any disputes/claims arising out of this power of attorney shall be subject to the grievance redressal procedure/bye-laws of the Exchange(s)/Depository(s) and shall be subject to the arbitration procedure/bye-laws as prescribed by the Exchange(s)/Depository(s) and shall be within the jurisdiction of the courts of Hyderabad.

I/we understand that all the provisions/contents of this power of attorney shall be subject to the concerned Exchange(s)/Depository(s) provisions, rules, bye-laws, regulations, and other provisions of its clearing house, if any, the provisions of SEBI Act, 1992, the SCR Act of 1956 and rules, regulations made there under and as amended from time to time.

I/we understand that the following is the list of Bank & Demat A/c(s) of ZSL (pertaining to clients Accounts only) where the funds & securities can be moved. I/we understand that any addition/change in the following list of Bank & Demat A/c(s) will be communicated, updated in the POA and also will be updated in the website and such additions/ changes also shall become designated Bank/Demat A/c(s) of ZSL.

List of Bank a/c(s) (clients A/c) : 000805005202 ICICI-Khairatabad,Hyd. 30556814708 SBI-Rajbhavan Road,Hyd. 0008010200060491 Axis Bank-Begumpet,Hyd. 0210360000017 HDFC-Lakdi-ka-pool,Hyd, 00210340006352 HDFC-Lakdi-ka-pool,Hyd, 00210340006369 HDFC-Lakdi-ka-pool,Hyd, and all other Bank A/c(s)as per list given in the Website.

List of Demat a/c(s) : IN30286310000634 (NSDL Clg. Pool A/c-NSE CM), IN30286310005679 (NSDL Clg. Pool A/c - BSE CM), 1203810000000036 (CDSL Clg. Pool A/c-NSE CM), 1203810000000114 (CDSL Pay-in A/c BSE CM), IN30286310336770 (NSDL Clg. Pool A/c-MSEI CM), 1203810000201008 (CDSL Clg. Pool A/c MSEI CM) and all other Demat A/c(s)as per list given in the Website.

Further I/we understand that the entire list of Bank & Demat A/c(s) is available on the website:'www.zenmoney.com'

I/we understand that this POA shall supercede any other POA executed earlier by me/us in this regard.

I/we undertake to inform ZSL immediately in writing in case of any changes pertaining to designated demat and/or bank account(s)and changes that were intimated shall become designated bank and/or demat account(s) and shall form part and parcel of this POA forthwith.

I/we hereby state that this power of attorney can be revoked by me/us at any time by giving a written intimation to ZSL.The request for revocation shall be sent only to the Director, Zen Securities Ltd, GEV Tulasi, Plot No 151,152& 153, Pragathi Nagar, Opp. JNTU, Quthbullapur, Hyd – 500090. ZSL shall revoke the POA only after receipt of all dues of funds and securities from the client and its settlement at exchanges. I/we understand and agree that in case of revocation of power of attorney ZSL may terminate all or any trading facility(s) and or any other investment service(s) provided by them. In such case, ZSL may increase DP charges/Trading brokerage slab/any other service charges/fees as agreed mutually for rendering services without POA.I/we agree for the same.

I/we understand that ZSL shall provide me/us a certified true copy of this power of attorney after execution.

I/we have executed this power of attorney free of any coercion and not under any duress.

IN WITNESS WHEREOF, I/we have caused this power of attorney to be executed on this _____ day of _____ 20_____.

Signed and delivered by:

<input checked="" type="checkbox"/> Signature of First Holder <input checked="" type="checkbox"/> Signature of Second Holder <input checked="" type="checkbox"/> Signature of Third Holder	Witness Signature <input checked="" type="checkbox"/> Name: _____ address: _____ _____
For and on behalf of Zen Securities Ltd Signed and delivered by <input checked="" type="checkbox"/> Authorised Signatory Name _____	Witness Signature <input checked="" type="checkbox"/> Name: _____ address: _____ _____

Mutual Fund Service System / BSE Star MF facility
(Letter to be provided by the Investor to the Participant/Intermediary)

voluntary

To,
Zen Securities Ltd., (ARN-No. 0507 Regd. as participant with AMFI for NSE MFSS/BSE Star MF)
GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,
Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500 090.

Date : _____

Sir,

Sub: Mutual Fund Service System (MFSS)/BSE Star MF facility

I/We am/are registered as your client with Client Code No. and have executed the Trading Member and Client Agreement for the purpose of trading in the Capital Market segment of National Stock Exchange of India Ltd/Bombay Stock Stock Exchange Limited.

I/We am/are interested in availing the MFSS/BSE Star MF facility of the Exchange/s for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the MFSS/BSE Star MF of the Exchange/s.

For the purpose of availing the MFSS /BSE Star MF facility, I/we state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of MFSS/BSE Star MF and I/we further confirm that the details contained in same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mentioned in the Circular dated November 24, 2009/2nd December,2009 and as may be specified by NSE/BSE respectively from time to time in this regard.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI).

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/we choose to subscribe/redeem. I/We further agree to abide by the below mentioned Terms & Conditions, rules and regulations of the Mutual Fund Schemes.

I/We authorise you to transfer the credit balances lying in my / our Stock Broking Account with Zen Securities Ltd / Commodities broking account with your subsidiary Zen Comtrade Private Limited to my / our MFSS/BSE Star MF account or vice versa as and when debit arises or as and when required

I/we therefore request you to register me/us as your client for participating in MFSS/ BSE StAR MF

Thanking you,

Yours faithfully,

Client signature 



**Details of terms & conditions for the Investor / Client for using New MFSS facility of
NSE / BSE Star MF Platform**

- 1. Pre-requisites for becoming Investor / Client for the New MFSS facility(NSE) / BSE Star MF Platform.**
 - 1.1. The client who is desirous of investing in units of mutual fund schemes through the New MFSS / BSE Star MF .
 - 1.2. The Client intends to execute his instruction for the subscription/redemption of units of Mutual Fund Schemes through the Participant of the New MFSS / the broker who is a Mutual Fund inter mediary (MFI) of the BSE Star MF Platform.
 - 1.3. The client has satisfied itself of the capacity of the Participant / MFI to deal in Mutual Fund units and wishes to execute its instruction through the Participant / MFI and the client shall from time to time continue to satisfy itself of such capability of the Participant / MFI before executing transacting through the Participant / MFI.
 - 1.4. The Client has approached to the Participant / MFI with the application for availing the New MFSS facility / BSE Star MF Platform.
 - 1.5. The client has submitted relevant KYC (Know Your Client) details to the Participants /MFIs.
- 2. Terms and Conditions**
 - 2.1. The client shall be bound by circulars issued by NSEIL / BSE's Rules, Regulations and Notices / circulars issued there under by SEBI and relevant notifications of Government authorities as may be in force from time to time.
 - 2.2. The client shall notify the Participant / MFI in writing if there is any change in the information in the 'client registration form' provided by the client to the Participant /MFI at the time registering as a client for participating in the New MFSS/BSE Star MF Platform or at any time thereafter.
 - 2.3. The client shall submit to the Participant / MFI a completed application form in the manner prescribed format for the purpose of placing a subscription order with the Participant / MFI.
 - 2.4. The client has read and understood the risks involved in investing in Mutual Fund Schemes.
 - 2.5. The client shall be wholly responsible for all his investment decisions and instruction.
 - 2.6. The client shall ensure continuous compliance with the requirements of the NSEIL, BSE, SEBI and AMFI.
 - 2.7. The Client shall pay to the Participant / MFI fees and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that Participant / MFI renders to the Client.
 - 2.8. The client will furnish information to the Participant / MFI in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
 - 2.9. In the event of non-performance of the obligation by the Participant / MFI, the client is not entitled to claim any compensation either from the Investor Protection Fund or from any fund of NSEIL or NSCCL / BSE or its Clearing Corporation - Indian Clearing Corporation Ltd. (ICCL).
 - 2.10. In case of any dispute between the Participants / MFIs and the investors arising out of the MFSS facility / BSE Star MF Platform, NSEIL and / or NSCCL / BSE and / or ICCL agrees to extend the necessary support for the speedy redressal of the disputes.

Request for e-statements for DP transactions

To
M/s. Zen Securities Ltd.,
GEV Tulasi, 3rd Floor, Plot No. 151,152 & 153,
Pragathinagar, Lane Opp. JNTU, Kukatpally, Hyderabad -500 090.

Re: Beneficial Owner (BO) Account No. _____

I/We _____ [name(s) of the BO(s)] had entered into agreement dated _____ with you at the time of opening of the aforesaid BO account.

Pursuant to the amendment in Clause 3 of the agreement (Annexure C to the Bye Laws of CDSL), I/We confirm having opted to receive the statement of accounts pertaining to the above mentioned BO account in electronic mode in lieu of physical copy of the statement of account.

I/We confirm that the dispatch of statement of account to me/us at the following email address shall constitute full and absolute discharge of your obligation under the above agreement to provide me/us with statement of my/our BO account. But, I/we reserve my/our right to receive the physical copy of statement of accounts despite receiving the same in electronic mode, if such a demand is made in writing on you.

[Email address: _____]

I/We confirm that any change in the aforesaid email address or any other instructions with regard to dispatch/ service of my/our statement of account on me/us shall not be binding upon you unless you are intimated in writing by me/us by acknowledged delivery.



1st

2nd

3rd

ACKNOWLEDGEMENT BY CLIENT

Date:

I/We wish to receive the whole set of completed client Registration documents viz. all the documents as mentioned in the index of Account Opening Kit of both mandatory and voluntary documents, including Rights & Obligations, Risk Disclosure Document (RDD) and Guidance note and also Rights & Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories in electronic / physical. I/we state that the above documents are executed by me/us with Zen Securities Ltd.

Client Name : _____

Client Code : _____

Signature





Client/s Name : _____
 Trading Code : _____
 Demat Account No(s): _____

FATCA / CRS Declaration Form (for individuals)

Part I- Please fill in the country for each of the following

1 Country of :	
a) Birth	
b) Citizenship	
c) Residence for Tax Purposes	
2 US Person(Yes / No)	

Part II- Please note:

- a. If in all fields above, the country mentioned by you is India and if you do not have US person status, please proceed to Part III for signature.
- b. if for any of the above field, the country mentioned by you is not India and/or if your US person status is Yes, please provide the Tax Payer Identification Number (TIN) or functional equivalent as issued in the specific country in the table below:

i) TIN	
Country of Issue	
ii) TIN	
Country of Issue	
iii) TIN	
Country of Issue	

- a. In case any of the parameters in **Part I** indicates that you are a US person or a person resident outside of India for tax purpose and you do not have Taxpayer Identification Numbers/functional equivalent, please complete and sign the Self- Certification section given in **Part IV**.
 - b. In case you are declaring US person status as 'No' but your Country of Birth is US, please provide document evidencing Relinquishment of Citizenship. If not available provide reasons for not having relinquishment certificate _____.
- Please also fill **Part IV** Self- Certification.

Part III- Customer Declaration (Applicable for all customers)

- (i) Under penalty of perjury, I/we certify that:
 1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America ("U.S.") or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S.,
 - (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. **(This clause is applicable only if the account holder is identified as a US person)**
 2. The applicant is an applicant taxable as a tax resident under the laws of country outside India. **(This clause is applicable only if the account holder is a tax resident outside of India)**
- (ii) I/We understand that Zen Securities Limited is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. Zen Securities Limited is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I /we shall seek advice from professional tax advisor for any tax questions.
- (iii) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect.
- (iv) I/We agree that as may be required by domestic regulators/tax authorities Zen Securities Limited may also be required to report, reportable details to CBDT or close or suspend my account.
- (v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Name: _____ Signature : _____

Date :

Part IV – Self – Certification:	
To be filled only if-	
(a) Name of the country in Part I is other than India and TIN or functional equivalent is not available, or	
(b) US person is mentioned as Yes in Part I, and TIN is not available	
I confirm that I am neither a US person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India.	<input checked="" type="checkbox"/> _____
Document Proof submitted (Please tick document being submitted)	
<input type="checkbox"/> Passport	<input type="checkbox"/> Election Id Card
<input type="checkbox"/> UIDAI Letter	<input type="checkbox"/> NREGA
<input type="checkbox"/> PAN Card	<input type="checkbox"/> Job Card
<input type="checkbox"/> Driving License	<input type="checkbox"/> Govt. Issued ID Card

CLIENT PROFILE

(This information is solicited by ZSL to render suitable service to the client as ZSL offers various services as mentioned elsewhere in this booklet including the latest service i.e. NPS. The ultimate motto of ZSL is to make their clients maximize their wealth through appropriate investment channel. Therefore we request the client to spare few minutes for filling up the following survey /questionnaire)

1. Qualifications of the Applicant:	<input type="checkbox"/> Under Graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate and above
2a. Spouse details (if married)Qualifications:	<input type="checkbox"/> Under graduate <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate and above
2b. Spouse details - Employment	<input type="checkbox"/> employed <input type="checkbox"/> in profession
2c. Spouse details - Income range (Rs in lakhs)	<input type="checkbox"/> less than 1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10-25 <input type="checkbox"/> above 25
2d. No. of children: (mention the figure)	
2e. Age group of the children	<input type="checkbox"/> 1-10 <input type="checkbox"/> 10-18 <input type="checkbox"/> 18 and above
3. Your house/flat you are staying in is	<input type="checkbox"/> own <input type="checkbox"/> rented
4. How long you have been investing in stocks (mention no. of years)	
5. The aim /goal of your investments:	<input type="checkbox"/> Children education <input type="checkbox"/> Daughters' marriage
	<input type="checkbox"/> House construction <input type="checkbox"/> Additional income
6. The size of your portfolio: (Rs in lakhs)	<input type="checkbox"/> less than1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10-25 <input type="checkbox"/> Above 25
7. You invest in stocks with	<input type="checkbox"/> own funds <input type="checkbox"/> borrowed funds
8. a. How frequently you track your stocks:	<input type="checkbox"/> Several times in a day <input type="checkbox"/> Daily
	<input type="checkbox"/> Weekly <input type="checkbox"/> Monthly
b. Whether you prefer:	<input type="checkbox"/> Long term investment <input type="checkbox"/> Short Term investment <input type="checkbox"/> Intra-day
c. The type of investor you are	<input type="checkbox"/> Regular Investor <input type="checkbox"/> Occasional investment
	<input type="checkbox"/> Speculator <input type="checkbox"/> Trader
9. The source of your information for investments:	<input type="checkbox"/> research analysis by the broker <input type="checkbox"/> newspaper <input type="checkbox"/> friends
	<input type="checkbox"/> TV <input type="checkbox"/> any other(specify)
10. Amount of investment you can add every year.	
11. How much risk you are willing to take:	<input type="checkbox"/> low risk <input type="checkbox"/> moderate risk <input type="checkbox"/> high risk <input type="checkbox"/> no risk
12. Whether you have subscribed to/invested in	<input type="checkbox"/> MF <input type="checkbox"/> ETF's <input type="checkbox"/> PMS
	<input type="checkbox"/> Gold Stocks <input type="checkbox"/> ULIP/LIC <input type="checkbox"/> NPS
	<input type="checkbox"/> Corp Bonds <input type="checkbox"/> PO Savings <input type="checkbox"/> Real Estate